



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

YOKOYAMA, CHIKAFUMI

Application No.:

10/698200

Group Art Unit:

1761

Filed:

October 31, 2003

Examiner:

Unknown

Title:

METHOD OF FORMING MICROSTRUCTURES ON A SUBSTRATE AND A

MICROSTRUCTURED ASSEMBLY USED FOR SAME

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450 on

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449. citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

Carolyn A. Fischer, Reg. No.: 39,091

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Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

2-24-04

Substitute for form	1449A/PTO	(modified)
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as recessary) Copy Page 1 of 1 CFB 2 7 1004

10/698200
October 31, 2003
Yokoyama, Chikafumi
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Unknown
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	U.S. Patent Documents					
Exam.	Cite	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Init.*	No.	Doc. Number-(Kind Code if Known)				
	A1	US- 2003/0022585	01/30/2003	Kikuchi et al.		
	A2	US- 5136207	08/04/1992	Miyake et al.		
	А3	US- 5352478	10/04/1994	Miyake et al.		
	A4	US- 5601468	02/11/1997	Fujii et al.		
	A5	US- 5703433	12/30/1997	Fujii et al.		
	A6	US- 5853446	12/29/1998	Carre et al.		
	A7	US- 5992320	11/30/1999	Kosaka et al.		
	A8	US- 2003/0100192	05/29/2003	Chiu		
	A9	US-				
	A10	US-				
	A11	US-				

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation
		Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)
	B1	JP	4-131835	05.06.1992			×
	B2	JP	3-9301	01.17.1991	,		х
	В3	JP	1-285332	11.16.1989			х
	B4	JP	9-283017	10.31.1997			x
	B5	JP	9-12336	01.14.1997			×
	В6	JP	2000-21303	01.21.2000			×
	B7	wo	04/007166	22.01.2004			
	B8	wo	04/010452	29.01.2004			

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
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